

SCORE = 7 points

COLORADO DEPARTMENT OF TRANSPORTATION

AFFIDAVIT OF SMALL BUSINESS PARTICIPATION

Project Name:

PS EXAMPLE CONTRACT

DBE Contract Goal:

15% Goal

ESB Contract Goal:

0%

Section 1. Consultant Information.

Consultant:

EXAMPLE ENGINEERING

Contact Name:

JANE GARCIA

Consultant is an ESB:

Consultant is a DBE:

Contact E-mail:

EXAMPLE.ENGINEERING.JANE@GMAIL.COM

Contact Phone #:

101-112-1314

Section 2. Participation & Incentive Scoring.

DBE Participation Plan (mandatory):

Work Area	Approximate Percentage of Participation
CONSTRUCTION MANAGEMENT	5%
MATERIALS TESTING	5%
CIVIL ENGINEERING SERVICES	11%

Met the contract goal

Small Business Targets (optional for incentive points): Check all that apply and include the number of applicable firms in the underlined blank space. This section will be evaluated for incentive point scoring. Teaming relationships will be tracked starting on August 1, 2016, thus, all relationships can be considered a new relationship starting on this date. To receive credit for new teaming relationships and Level 1 ESB participation, each firm must perform at least \$50,000 or 2% of the work, whichever is less.

- The consultant commits to 2 Level 1 ESB firm(s). → 2 points
- The consultant commits to 3 DBE/ESB firm(s) not teamed with in the past 3 years. → 3 points
- The consultant commits to 6 % of ESB participation (The total of all ESB participation should be indicated in the blank space). → 3 points

*Although this firm has 8 points, they can only get a maximum of 7 points.

Section 3. Affidavit

The Consultant affirms that:

The Consultant shall make good faith efforts to meet the contract goal on the overall contract and each task order. The consultant understands that making good faith efforts to achieve the contract goal is a condition of contract award. The consultant understands that promised participation is a binding obligation of the contract, if awarded. The consultant attests that the information above is true and understands that a fraudulent misrepresentation or failure to make good faith efforts to meet the contract goal or promised participation may result in the withholding of progress payments, reduction of prequalification status, referral of the matter to the Office of Inspector General of the US DOT, and/or other contractual remedies.

By signing below, the consultant affirms that the statements made in this document are true and complete.

I, Howard Williams, am the CEO of EXAMPLE ENGINEERING
(Owner or Executive Officer Name) (Title) (Consultant Company Name)

[Signature]
(Signature)

8/26/16
(Date)

Score = 10 Points

COLORADO DEPARTMENT OF TRANSPORTATION

AFFIDAVIT OF SMALL BUSINESS PARTICIPATION

Project Name: NPS EXAMPLE CONTRACT	DBE Contract Goal: 5%	ESB Contract Goal: 0%
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Section 1. Consultant Information.

Consultant: EXAMPLE FIRM	Contact Name: MONICA DELAGRACIA	Consultant is an ESB: <input type="checkbox"/>] automatic 6 points as a DBE prime
Contact E-mail: MONICA@EXAMPLEFIRM.COM	Contact Phone #: 123-4567	Consultant is a DBE: <input checked="" type="checkbox"/>	

Section 2. Participation & Incentive Scoring.

DBE Participation Plan (mandatory):

Work Area	Approximate Percentage of Participation
TRAFFIC CONTROL/ROW/SURVEY	30%

] met contract goal

Small Business Targets (optional for incentive points): Check all that apply and include the number of applicable firms in the underlined blank space. This section will be evaluated for incentive point scoring. Teaming relationships will be tracked starting on August 1, 2016, thus, all relationships can be considered a new relationship starting on this date. To receive credit for new teaming relationships and Level 1 ESB participation, each firm must perform at least \$50,000 or 2% of the work, whichever is less.

- The consultant commits to 1 Level 1 ESB firm(s). = 1 point
- The consultant commits to DBE/ESB firm(s) not teamed with in the past 3 years.
- The consultant commits to 6 % of ESB participation (The total of all ESB participation should be indicated in the blank space). = 3 points

total of 4 points in this section

Section 3. Affidavit

The Consultant affirms that:

The Consultant shall make good faith efforts to meet the contract goal on the overall contract and each task order. The consultant understands that making good faith efforts to achieve the contract goal is a condition of contract award. The consultant understands that promised participation is a binding obligation of the contract, if awarded. The consultant attests that the information above is true and understands that a fraudulent misrepresentation or failure to make good faith efforts to meet the contract goal or promised participation may result in the withholding of progress payments, reduction of prequalification status, referral of the matter to the Office of Inspector General of the US DOT, and/or other contractual remedies.

By signing below, the consultant affirms that the statements made in this document are true and complete.

I, Monica DeLaGracia, am the CEO of EXAMPLE FIRM
(Owner or Executive Officer Name) (Title) (Consultant Company Name)

[Signature]
(Signature)

8/26/16
(Date)

SCORE = 10 POINTS

COLORADO DEPARTMENT OF TRANSPORTATION

AFFIDAVIT OF SMALL BUSINESS PARTICIPATION

Project Name: NPS EXAMPLE CONTRACT	DBE Contract Goal: 10%	ESB Contract Goal: 0%
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Section 1. Consultant Information.

Consultant: ABC ENGINEERS, INC.	Contact Name: JOHN SMITH	Consultant is an ESB: <input checked="" type="checkbox"/>
Contact E-mail: ABCENGINEERS@INC.COM	Contact Phone #: 123-456-7891	Consultant is a DBE: <input checked="" type="checkbox"/>

automatic 10 points as an ESB prime

Section 2. Participation & Incentive Scoring.

DBE Participation Plan (mandatory):

Work Area	Approximate Percentage of Participation
MATERIALS TESTING / CM / INSPECTION	30%

met the contract goal

Small Business Targets (optional for incentive points): Check all that apply and include the number of applicable firms in the underlined blank space. This section will be evaluated for incentive point scoring. Teaming relationships will be tracked starting on August 1, 2016, thus, all relationships can be considered a new relationship starting on this date. To receive credit for new teaming relationships and Level 1 ESB participation, each firm must perform at least \$50,000 or 2% of the work, whichever is less.

- The consultant commits to ___ Level 1 ESB firm(s).
- The consultant commits to ___ DBE/ESB firm(s) not teamed with in the past 3 years.
- The consultant commits to 30 % of ESB participation (The total of all ESB participation should be indicated in the blank space).

This firm did not need to complete this, since the firm already has the maximum 10 points

Section 3. Affidavit

The Consultant affirms that:

The Consultant shall make good faith efforts to meet the contract goal on the overall contract and each task order. The consultant understands that making good faith efforts to achieve the contract goal is a condition of contract award. The consultant understands that promised participation is a binding obligation of the contract, if awarded. The consultant attests that the information above is true and understands that a fraudulent misrepresentation or failure to make good faith efforts to meet the contract goal or promised participation may result in the withholding of progress payments, reduction of prequalification status, referral of the matter to the Office of Inspector General of the US DOT, and/or other contractual remedies.

By signing below, the consultant affirms that the statements made in this document are true and complete.

I, John Smith, am the CEO/DBE COMPLIANCE of ABC ENGINEERS, INC.
(Owner or Executive Officer Name) (Title) (Consultant Company Name)

(Signature)

8/26/16
(Date)